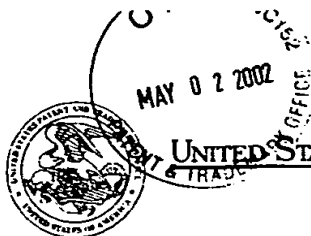


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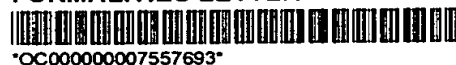
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,472	02/07/2002	Ravinder Kachru	1990.008

CONFIRMATION NO. 1797

FORMALITIES LETTER



Kevin P. Radigan, Esq.
Heslin Rothenberg Farley & Mesiti P.C.
5 Columbia Circle
Albany, NY 12203

Date Mailed: 02/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2002 YPOLITE1 00000012 10072472

01 FC:101
02 FC:105

740.00 OP
130.00 OP



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MP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kachru et al.

Serial No: 10/072,472

Examiner: Unknown

Filed: 02/07/02

Group Art Unit: 2661

Title: COMPACT, FOUR PORT THIN FILM FILTER OPTICAL
ADD/DROP MULTIPLEXER

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on April 23, 2002.

Kevin P. Radigan
Kevin P. Radigan, Esq.
Attorney for Applicants
Reg. No. 31,789

Date of Signature: April 23, 2002

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Response to Notice To File Missing
Parts Of Nonprovisional Application
Filing Date Granted

Dear Sir:

This paper is submitted in response to the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted issued on February 28, 2002 in connection with the above-identified application. The deadline for responding to the Notice is April 28, 2002. Accordingly, this Response

to Notice to File Missing Parts of Nonprovisional Application is being timely filed.

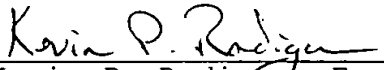
In response to the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted, applicants hereby submit the following:

- (A) A copy of the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted;
- (B) A signed Declaration pursuant to 37 C.F.R. §1.63, which includes the application's serial number and filing date, as well as a complete identification of the inventors (3 pages);
- (C) A check in the amount of \$870, as payment of the following fees:
 - (i) \$740 basic filing fee for a large entity; and
 - (ii) \$130 large entity surcharge fee.
- (D) The Commissioner is hereby authorized to charge payment of the following fees, enumerated below, during the pendency of this application or credit any over payment to deposit account no. 08-1935.
 - (1) Any filing fees under 37 C.F.R. 1.16;
 - (2) Any patent application processing fees under 37 C.F.R. 1.17.

A duplicate copy of this Response to Notice to File Missing Parts of Nonprovisional Application Filing Date Granted is enclosed.

Any communication regarding this matter should be directed to the undersigned.

Respectfully submitted,


Kevin P. Radigan, Esq.
Attorney for Applicants
Registration No. 31,789

Dated: April 23, 2002

HESLIN ROTHENBERG FARLEY & MESITI P.C.
5 Columbia Circle
Albany, New York 12203
Telephone: (518) 452-5600
Facsimile: (518) 452-5579